Diversity Maximization Under Matroid Constraints

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ABSTRACT

Aggregator websites typically present documents in the form of representative clusters. In order for users to get a broader perspective, it is important to deliver a diversified set of representative documents in those clusters. One approach to diversification is to maximize the average dissimilarity among documents. Another way to capture diversity is to avoid showing several documents from the same category (e.g., from the same news channel). We combine the above two diversification concepts by modeling the latter approach as a (partition) matroid constraint, and study diversity maximization problems under matroid constraints. We present the first constant-factor approximation algorithm for this problem, using a new technique. Our local search 0.5-approximation algorithm is also the first constant-factor approximation for the max-dispersion problem under matroid constraints. Our combinatorial proof technique for maximizing diversity under matroid constraints uses the existence of a family of Latin squares which may also be of independent interest.

In order to apply these diversity maximization algorithms in the context of aggregator websites and as a preprocessing step for our diversity maximization tool, we develop greedy clustering algorithms that maximize weighted coverage of a predefined set of topics. Our algorithms are based on computing a set of cluster centers, where clusters are formed around them. We show the better performance of our algorithms for diversity and coverage maximization by running experiments on real (Twitter) and synthetic data in the context of real-time search over micro-posts. Finally we perform a user study validating our algorithms and diversity metrics.

Categories and Subject Descriptors

F.2.2 [Analysis of Algorithms and Problem Complexity]: [Non-numerical Algorithms and Problems]; H.2.8 [Information Systems]: Applications—Data Mining

Keywords

Diversity Maximization, Approximation Algorithms, Local Search Algorithms, Matroid Constraints, Clustering.

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1. INTRODUCTION

Aggregator websites such as news aggregators have become increasingly common during the past decade. These websites (e.g., Google News) typically present snippets of documents (e.g., news articles) in the form of clusters. In these clusters, related documents are aggregated and a few of the representative items in that cluster are highlighted to give a glimpse of the documents in that cluster. In order for users to get a broader perspective on different topics, it is important to deliver a diversified set of representative documents in those clusters. Presenting a diversified set of documents is also important in the context of product search or commerce search [3]. There are two ways to capture diversity among documents:

- In the first approach, after defining a distance function \( \ell \) among documents based on some implicit metrics (e.g., their content), we aim to maximize the average pairwise distance among these documents [11]. This measure has been motivated and studied in the context of diversity maximization in recommender systems and commerce search [11, 3]. In particular, Bhattacharya, Gollapudi, and Munagala [3] argue that maximizing this diversity measure is desirable in the context of commerce (or product) search. They validate this claim through a user study. From an algorithmic point of view, this problem is known as the maximum dispersion problem and approximation algorithms are known for it [12, 11, 3].

- Another approach to diversification is based on considering explicitly defined categories of documents such as news channels or product brands. This approach enforces a constraint that no more than one (or two) of the documents from the same category may appear in the output. This more explicit diversity constraint can be modeled using a (partition) matroid constraint, i.e., we can define a (partition) matroid over the set of documents and aim to find a subset of representative documents that form an independent set of that matroid.

In order to model the above ways to capture diversity, we define the diversity maximization problem under matroid constraints: assuming that we can show a limited number of representative documents in the output, the goal of the diversity maximization problem under matroid constraints is to choose a subset of a small number of representative documents with the maximum diversity such that they form an independent set of a matroid constraint (e.g., not more than 1 (or 2) of the documents belong to the same category). \(^1\) We study

\(^1\)More generally, we consider the problem of choosing a set of diversified representative documents inside a given set of (possibly overlapping) clusters, and study the clustered diversity maximization problem under matroid constraints (See Section 2 for more details).
this problem from an algorithmic perspective as well as experimentally using simulations and a user study.

1.1 Our Contributions.

Diversity maximization under matroid constraints. As our main technical contribution, we present the first constant-factor approximation algorithm for the diversity maximization problem under matroid constraints using a new local search technique. Previous approximation algorithms for diversity maximization (or maximum dispersion) are based on a greedy approach that does not handle the matroid constraints [12, 3]. On the other hand, the local search technique is appropriate for handling such matroid constraints. Moreover, our algorithm can handle an extra constraint to find representative documents inside a given set of (possibly overlapping) clusters. Our local search 0.5-approximation algorithm is also the first constant-factor approximation for the max-dispersion problem under matroid constraints [12, 11, 3]. Our combinatorial proof technique for maximizing diversity under matroid constraints uses the existence of a family of Latin squares which may find other theoretical applications.

Preprocessing for Clustering. As part of the input to the diversity maximization problem discussed above, we need to pre-compute a set of clusters \( C_1, \ldots, C_h \). Such a set of clusters can be found using many different techniques. While this is not the focus of this paper, we discuss two simple algorithms to produce these clusters and evaluate them in the experimental evaluation section. We show that our greedy algorithm gives a \( 1 - \frac{1}{2} \)-approximation for this problem using submodular maximization properties.

Experimental Evaluation. We show the effectiveness of our algorithms in practice through experiments and a user study. We perform experiments on both real and randomly generated data to confirm the performance of our algorithms for maximizing diversity and weighted-coverage.

Data. The algorithms developed in this paper can be applied to different applications of aggregator websites such as news aggregators (like Google News), commerce search [3], and real-time search for online social networks. As for the real dataset, we focus on the application of developing an aggregator website in the context of real-time search for the stream of Twitter micro-posts. We compare the performance of the local search algorithm, a greedy algorithm, and a naive sorting algorithm on these datasets.

Observations. For several of the randomly generated datasets, the diversity of the local search algorithm is more than 300% higher than that of the greedy or the naive sorting algorithm. For the real data set, we observe that the greedy algorithm and the local search algorithm consistently outperform the sorting algorithm for maximizing weighted-coverage and diversity respectively. For example, we observe that on average, weighted-coverage of the greedy algorithm is 78% higher than that of the sorting algorithm, and the local search algorithm achieves 28% higher diversity than the sorting algorithm.

User Study. Finally, we conduct a user study through Amazon Mechanical Turk validating our algorithms and our metrics. This user study shows that most users prefer to see a diversified set of documents across clusters in an aggregator website.

1.2 Related Work.

Diversity Maximization Problem. The diversity maximization problem studied in this paper generalizes the maximum dispersion problem [12, 11, 3]. This problem has been explored in the context of diversity maximization for recommender systems [11], and commerce search [3]. A 1/2-approximation greedy algorithm has been developed for the unconstrained variant of this problem [12], and the variant with knapsack constraints [3]. None of these greedy algorithms gives a constant-factor approximation for this problem under matroid constraints. By developing a local search algorithm and a different proof technique, we get the first 1/2-approximation algorithms for the maximum dispersion problem under matroid constraints. Such matroid constraints are also natural in the context of product search and can be directly applied to similar problems studied in [3].

Diversity in Recommender Systems and Web Search

Ranking and relevance maximization along with diversification have been extensively studied in recommender systems, web search, and database systems. While these results differ from our work in various aspects, we point out some related work in this extensive literature which implies the importance of diversification in these applications.

In the context of web search, maximizing diversity has been explored as a post-processing step [4, 31, 1, 2]. Other papers explore search result diversification by re-ranking the top searches through reformulating the queries while taking into account diversity [24, 30, 23]. Other methods are based on clustering results into groups of related topics [18], or expectation maximization for estimating the model parameters and reaching an equilibrium [25]. Moreover, in the context of recommender systems, diversification has been explored in various recent papers [20, 16, 32]. For example, explanation-based diversity maximization is explored in [32]. From the information retrieval perspective, more real-time diversification ranking methods have been developed exploiting user browsing behaviors [8] or exploiting query reformulations for web search results [26]. This topic has been also explored in database systems [7, 17]. For example, notions of diversity has been suggested based on presenting decision trees to users [7]. Also computing a diversified set of results for online shopping [29], image search [15], and online social networks [22] has been studied. Finally diversity maximization can be done through submodular maximization [28, 9] which is related to the GREEDY and Max. Coverage algorithms studied in this paper. Most of the above work consider other types of diversity metrics, and to the best of our knowledge, our diversity maximization problem subject to matroid constraints has not been explored formally prior to our results. In particular, our local search technique and our proof technique to show the 1/2-approximation for the problem are new and have not been explored in previous work.

2. PRELIMINARIES

2.1 Diversity Maximization Problems

Documents and Distance Function. Our model consists of a set of documents \( D \) and pairwise distance function \( \ell : D \times D \to R \) capturing the dissimilarity among documents. Throughout this paper, we assume that the distance function \( \ell \) is a metric satisfying the triangle inequality, i.e., for any three documents, \( \ell(d_1, d_2) + \ell(d_2, d_3) \leq \ell(d_1, d_3) \). In our main application, we will use the weighted Jaccard Distance as the pairwise dissimilarity between documents which is a metric, our diversity maximization results hold for all metric distance functions. For more details on this, see Section 2.2. The documents \( d_i \in D \) may correspond to various objects in different applications: in the context of a news aggregator, these documents correspond to news articles; for product search, these documents represent descriptions of a product, and for real-time search, they correspond to online (micro-)posts by users.

Diversity Function. Our main focus in this paper is to find a small set of representative documents maximizing diversity. Given a set of documents \( S \subseteq D \), the diversity of documents in \( S \) is defined as
the sum of pairwise distances between documents in $S$, i.e.,

$$\text{diversity}(S) = \sum_{i \in S} \sum_{j \in S} \ell(i, j).$$

This diversity measure has been motivated and studied in the context of recommender systems and commerce search [11, 3]. For example, Bhattacharya, Gollapudi, and Munagala [3] argue that maximizing this diversity measure is desirable in the context of commerce search, and validate this claim through a user study.

The simplest version of the diversity maximization problem is to choose a set $S$ of $k$ documents with maximum diversity. Below, we discuss more general variants of this problem in the presence of a (possibly overlapping) clustering of document and also under matroid constraints.

**Clustered Diversity Maximization.** Given a set of clusters $C = (C_1, C_2, \ldots, C_k)$, find a set $S$ of $p$ representative documents $r_1, r_2, \ldots, r_p$ in each cluster $C_i$ maximizing diversity($S$).

Note that in the definition of the problem above, clusters $C_1, C_2, \ldots, C_k$ need not to be disjoint, and can be arbitrary overlapping subsets of nodes. In particular, each cluster may include the whole set of documents, i.e., $C_i = D$. For this special case, the diversity maximization algorithm is to choose a set of $pk$ nodes in the graph to maximize diversity (independent of their coverage). Since the distance function $\ell$ satisfies triangle inequality [5], this special case of the clustered diversity maximization problem is equivalent to the maximum dispersion problem [12].

**Motivation for Matroids.** In order to identify diversified representative documents, a natural requirement is to retrieve documents from different categories. In settings that such categories are explicitly given, this constraint might be enforced in addition to maximizing the diversity function defined above. One example of such constraints is as follows: Consider a partitioning of documents $D$ into $q$ subcategories $D_1, D_2, \ldots, D_q$, where $D = \cup_{1 \leq i \leq q} D_i$ and $D_i$s are disjoint sub-categories of documents. For example, in the context of news aggregators, each category $D_i$ may correspond to a news domain; for product search, the subcategory $D_i$ may correspond to a specific brand, and in the context of real-time search, a subcategory may correspond to online posts from the same user. We would like to find a subset of documents maximizing total diversity such that at most one document from each category $D_i$ is present in the whole set (or each given cluster $C_i$). The constraint of not having more than one document from each subcategory can be captured as a special matroid constraint, called the partition matroid constraint. Here, we first define a matroid constraint, then define the diversity problem under a general set of matroid constraints.

**Matroid Constraints.** A matroid $M$ is defined as a family of subsets of the ground set of documents $E(M) = D$, called independent sets. The set of independent sets $S$ of a matroid $M$ is denoted by $I(M)$. For a given matroid $M$, the associated matroid constraint is $S \in I(M)$. As is standard, $M$ is a uniform matroid of rank $r$ if $I(M) := \{X \subseteq E(M) : |X| \leq r\}$. A partition matroid is the direct sum of uniform matroids. Note that uniform matroid constraints are equivalent to cardinality constraints, i.e., $|S| \leq k$. For more details about matroids, see [27].

Now, we formally define the problem:

**Clustered Diversity Maximization Under Matroid Constraints.** Let $M$ be a matroid over the set of documents $D$ with a family of independent sets $I(M)$. Given a set of clusters $C = (C_1, C_2, \ldots, C_k)$, our goal is to find an independent set $R_i \subseteq I(M)$ of $p$ representative documents $R_i = \{r_1, r_2, \ldots, r_p\} \subseteq C_i (1 \leq i \leq k)$ while maximizing diversity$(\cup_{1 \leq i \leq k} R_i)$.

A special class of matroids is a partition matroid $M$: Given a partitioning of documents $D$ to $q$ subsets $(D_1, D_2, \ldots, D_q)$, a subset $S \subseteq D$ is an independent set of partition matroid $M$ iff $S$ has at most one document from each subset $D_j$ for each $1 \leq j \leq q$. As discussed earlier, our main motivation for studying matroid constraints is partition matroids.

## 2.2 Topics and Jaccard Distance Function

As discussed earlier, our diversity maximization results hold for any metric distance function $\ell$, however, in Section 4 and our experimental evaluation, we focus on the generalized Jaccard distance function. In this section, we define this distance function and other preliminaries related to it.

**Topics.** In order to define the Jaccard distance function between documents, we consider a set of topics $T$. The topics $t \in T$ correspond to important themes or subjects of those documents, e.g. they may correspond to hot news or micro-post topics for news aggregators or micropost real-time search; or to their brand and their features for the product search application.

**Pairwise relevance and edge weights** $w(d,t)$. For each document $d \in D$ and any topic $t \in T$, the weight $w(d,t)$ models the relevance of a topic to a document $d$, i.e., it represents the extent to which a document is related to a topic $t \in T$. The larger the weight $w(d,t)$ is, the more relevant document $d$ is to topic $t$. Throughout the paper, we assume that the weights are given and computed in advance.

For the theoretical part we assume that the weights are given.

We construct an edge-weighted bipartite topic-document bipartite graph $G(D, T, E)$ between documents and topics with edge weights $w(d,t)$. Our goal is to find a set of clusters with a set of representatives in each cluster covering a large portion of topics while maintaining diversity. A central property that we need to satisfy in this part is matroid constraints.

**Generalized Jaccard Distance.** To further investigate the diversity of a set of documents, we define the following generalized Jaccard distance between documents. Given an edge-weighted bipartite graph $G(D, T, E)$, for two documents $d_1$ and $d_2$, the generalized Jaccard distance between $d_1$ and $d_2$ is defined as:

$$\ell(d_1, d_2) = 1 - \frac{\sum_{t \in T} \min(w(d_1, t), w(d_2, t))}{\sum_{t \in T} \max(w(d_1, t), w(d_2, t))}.$$

This distance function is a natural generalization of the Jaccard distance functions over sets with weighted elements. This generalized Jaccard distance has been studied already and it has been shown that it satisfies the triangle inequality [5].

## 3. DIVERSITY MAXIMIZATION UNDER MATROID CONSTRAINTS

Here, we present a local search algorithm to choose a set of diversified representatives in each cluster under a (partition) matroid constraint $M$. We prove that it achieves an approximation ratio of $\frac{1}{2}$ in the worst case. Roughly speaking, the algorithm starts from an arbitrary set $R_i$ of representative documents in each cluster, and at each step, it considers all pairs $(d, d') \in R_i \times C_i \setminus R_i$ of documents inside and outside of the representatives such that $R_i \setminus \{d\} \cup \{d'\} \in I(M)$, and examines swapping these two documents, i.e., removing document $d$ and adding document $d'$ to the set of representatives. If this swap increases the total diversity of the documents by a factor of $1 + \frac{\epsilon}{|R_i|}$ for a small constant $\epsilon$, i.e., if

$\theta(x,y)$
Local search algorithm to choose diversified representatives

Input: A set of clusters $\mathcal{C} = \{C_1, C_2, \ldots, C_k\}$, a set of documents $D$, a matroid $M$ over documents, and a distance function $\ell$ among documents.

Output: For each cluster $C_i$ ($1 \leq i \leq k$), a set of $p$ documents $R_i = \{r_{i,1}, r_{i,2}, \ldots, r_{i,p}\} \in \mathcal{I}(M)$ where $R_i \subseteq C_i$.

Goal: Find a set of representatives maximizing total diversity, i.e., $\sum_{1 \leq i \leq k, 1 \leq j \leq p} \sum_{1 \leq \ell \leq k, 1 \leq \ell \leq p} \ell(r_{i,\ell}, r_{j,\ell})$.

1. Initialize: Let $R_i$ be a set of top documents in $C_i$.
2. While there exists a local improvement do
3. For any pair of documents $(d, d') \in R_i \times (C_i \setminus R_i)$ such that $R_i \setminus \{d\} \cup \{d'\} \in \mathcal{I}(M)$ do
4. If removing $d$ from $R_i$ and adding $d'$ to $R_i$ increases the total diversity by a factor of $1 + \frac{1}{p}$, i.e., $g(S \setminus \{d\} \cup \{d'\}) > (1 + \frac{1}{p})g(S)$ then
5. Let $R_i := R_i \setminus \{d\} \cup \{d'\}$.

Figure 1: Local Search Algorithm for Cluster Center Selection

It increases the sum of pairwise distances of representatives by that factor, we make this swap, i.e., we let $R_i = R_i \setminus \{d\} \cup \{d'\}$.

One desirable property of this local search algorithm is its flexibility to optimize other objective functions in the case where there are more than one choice for local improvements. Examples of these objective functions are quality or coverage of the documents or the popularity of the news channels. To optimize a different objective function, one can try the sequence of local operations in the order of that objective, for example, we initialize the representatives to the set of documents with the highest quality score, and consider swapping other documents with these documents in the order of their quality score. This quality score may take into account the reliability and coverage of each document, or the popularity of owner of the micro-post. We first prove that the algorithm achieves a good guaranteed approximation ratio, and then we study its computational time complexity.

Approximation Factor. Here, we show that the exact local search algorithm achieves a guaranteed approximation ratio of $1/2$. Such a proof for the exact local search algorithm simply implies a guaranteed approximation of $0.5 - \frac{1}{p}$ for the approximate local search algorithm above. This proof is based on the existence of a class of diagonal Latin squares, and thus this technique may find other theoretical applications.

Theorem 3.1. Given a set of clusters $\mathcal{C} = \{C_1, C_2, \ldots, C_k\}$, the above local search algorithm is a $1/2$-approximation algorithm for the problem of choosing a set of $p$ representatives $R_i \in \mathcal{I}(M)$ for each cluster $C_i$, maximizing the total diversity of the representatives.

Proof. Consider an optimum solution $O = \{O_1, \ldots, O_p\}$ where $O_i = \{O_{i,1}, \ldots, O_{i,p}\} \in \mathcal{I}(M)$. Let the output of the local search algorithm be $L = \{L_1, L_2, \ldots, L_k\}$ where $L_i = \{L_{i,1}, \ldots, L_{i,k}\} \in \mathcal{I}(M)$. Our goal is to show that

\[
L = \sum_{1 \leq i \leq k, 1 \leq j \leq p} \sum_{1 \leq \ell \leq k, 1 \leq \ell \leq p} \ell(L_{i,\ell}, L_{j,\ell}) \geq \frac{1}{2} \sum_{0 \leq i \leq n-1, 0 \leq j \leq n-1} \ell(o_i, o_j).
\]

To prove this, we need to employ a useful exchange property of matroids (see [27]). Intuitively, this property states that for any two independent sets $I$ and $J$, we can add any element of $J$ to the set $I$, and kick out at most one element from $I$ while keeping the set independent. Moreover, each element of $I$ is allowed to be kicked out by at most one element of $J$.

Proposition 3.2. [27] Let $M$ be a matroid and $I, J \in \mathcal{I}(M)$ be two independent sets. Then there is a mapping $\pi : J \setminus I \rightarrow (I \setminus J) \cup \{\phi\}$ such that:

1. $(I \setminus \pi(b)) \cup \{b\} \in \mathcal{I}(M)$ for all $b \in J \setminus I$.
2. $|\pi^{-1}(e)| \leq 1$ for all $e \in I \setminus J$.

Applying Proposition 3.2, we may consider a mapping $\pi_i$ between each subset $L_i$ and $O_i$ such that $L_i \setminus L_j \cup O_i$ is in $\mathcal{I}(M)$. Without loss of generality, we may assume $\pi_i(L_j) = O_i$, and thus from Proposition 3.2, $L_i \setminus L_i \cup O_j \in \mathcal{I}(M)$. Now consider removing $L_j$ from $L_i$ and adding $O_i$ to $L_j$. Using the local optimality of $L$ since $L_i \setminus L_j \cup O_j \in \mathcal{I}(M)$, we know that for any $1 \leq i \leq k$ and $1 \leq j \leq p$:

\[
\sum_{1 \leq i \leq k, 1 \leq j \leq p} \sum_{1 \leq \ell \leq k, 1 \leq \ell \leq p} \ell(L_{i,\ell}, L_{j,\ell}) \geq \sum_{1 \leq i \leq k, 1 \leq j \leq p} \sum_{1 \leq \ell \leq k, 1 \leq \ell \leq p} \ell(O_{i,\ell}, L_{j,\ell})
\]

Now adding the above inequality for all $1 \leq i \leq k$ and $1 \leq j \leq p$, we get:

\[
L = \sum_{1 \leq i \leq k, 1 \leq j \leq p} \sum_{1 \leq \ell \leq k, 1 \leq \ell \leq p} \ell(L_{i,\ell}, L_{j,\ell}) \geq \sum_{1 \leq i \leq k, 1 \leq j \leq p} \sum_{1 \leq \ell \leq k, 1 \leq \ell \leq p} \ell(O_{i,\ell}, L_{j,\ell})
\]

For ease of notation, let $o_i = O_i^j_{\left(i-k\right)+1}, i.e., for n = kp$, and let $o_0, o_2, \ldots, o_{n-1}$ correspond to the set of all representatives in the optimal solution $O_j$’s for $1 \leq i \leq k$ and $1 \leq j \leq p$. Similarly, let $l_0, l_2, \ldots, l_{n-1}$ correspond to the set of representatives in the local optimal solution $L_i$’s. In this new notation, we can re-write the above inequalities as:

\[
L = \sum_{0 \leq i \leq n-1, 0 \leq j \leq n-1} \ell(o_i, l_j) \geq \sum_{0 \leq i \leq n-1, 0 \leq j \leq n-1} \ell(o_i, l_j).
\]

In order rewrite the above inequalities, we use a pattern similar to a family of Latin squares. Consider an $n \times n$ diagonal Latin square, with elements $a_{ij}$ for $0 \leq i \leq n-1$ and $0 \leq j \leq n-1$. This Latin square has the following properties (that are useful later to finish the proof): In each row and column of Latin square, each number 0, 1, 2, ..., $n-1$ appears exactly once, and $a_{ii}$ is $i$. The existence of such Latin squares have been already proved [14]. Therefore, the summation $\sum_{0 \leq i \leq n-1, 0 \leq j \leq n-1} \ell(o_i, l_j)$ appeared in the above formula may be rewritten as

\[
\frac{1}{2} \sum_{0 \leq i \leq n-1, 0 \leq j \leq n-1} \ell(o_i, l_{i+j}) + \ell(o_i, l_{i+j}).
\]

Now consider the following set of triangle inequalities among the documents: $\ell(o_i, l_{i+j}) + \ell(o_j, l_{i+j}) \geq \ell(o_i, o_j)$. Using the struc-
ture of the Latin square, and by putting it all together, we get:

\[
L = \sum_{1 \leq i < j \leq p} \sum_{1 \leq k \leq q} \sum_{1 \leq l \leq j'} \ell(L_{ij}^{k}, L_{ij'}^{k}) \geq \sum_{1 \leq i < j \leq p} \sum_{1 \leq k \leq q} \sum_{1 \leq l \leq j'} \ell(O_{ij}^{k}, O_{ij'}^{k}) = \frac{1}{2} \sum_{0 \leq i \leq n-1, 0 \leq j \leq n-1} \sum_{0 \leq k \leq n-1} \sum_{0 \leq l \leq n-1} \ell(o_{i}, o_{j}) \geq \frac{1}{2} \sum_{0 \leq i \leq n-1, 0 \leq j \leq n-1} \sum_{0 \leq k \leq n-1} \sum_{0 \leq l \leq n-1} \ell(O_{ij}^{k}, O_{ij'}^{k}) = \frac{1}{2} \text{OPT}
\]

This completes the proof. □

Running time of the local search algorithm. It can be seen that this algorithm converges as the value of the metric increases at each step. In fact, this algorithm has a polynomial running time, since a swap only is done if the metric increases by a factor of \(1 + \frac{\epsilon}{2}\) for an appropriately small constant \(\epsilon > 0\). To show this, first we observe that by starting from a set \(S\) including two furthest documents, we have \(g(S) \geq \text{OPT}\) for the initial set \(S\). Starting from such a set, and using the approximate local improvements, it follows that if the algorithm performs \(t\) local improvements, then \((1 + \frac{\epsilon}{2})^t \leq k^2\) since at each step, the metric increases by \(1 + \frac{\epsilon}{2}\) factor and the value of the metric is upper bounded by \(k^2\) times the initial value of the metric. It follows that \(t \leq O(\log(1 + \frac{\epsilon}{2}(k^2))) = O(O\log(k))\). Therefore the algorithm finishes at most after \(O(O\log(k))\) local improvements, and thus it runs in polynomial time.

3.1 Diversity Maximization Under Global Matroid Constraints

As another variant of the diversity maximization problem, one can consider the matroid constraint over all representatives (instead of having the matroid constraint for the representatives in each cluster). In this variant, we find a set of \(p\) representatives \(R_i \subseteq C_i\) such that the whole set \(\bigcup_{1 \leq i \leq k} R_i\) is an independent set in the matroid. For example, we may enforce the constraint that at most one representative in \(\bigcup_{1 \leq i \leq k} R_i\) can be chosen from each \(D_i\) for \(1 \leq i \leq q\). The significance of this variant is that it generalizes the max-dispersion problem under matroid constraints. To see this, consider this problem with all equal clusters \(C_i = D\) and \(p = 1\). Therefore, a \(\frac{1}{2}\)-approximation algorithm for this problem implies a \(\frac{1}{2}\)-approximation algorithm for the max-dispersion problem with matroid constraints. In the following, we formally define this variant.

Clustered Diversity Maximization Under Global Matroid Constraints. Let \(M\) be a matroid over the set of documents \(D\) and family of independent sets \(\mathcal{I}(M)\). Our goal is to find an independent set \(R_i \subseteq C_i\) of \(p\) representative documents \(R_i = \{r_1^i, r_2^i, \ldots, r_k^i\}\) for each cluster \(C_i\) (\(1 \leq i \leq k\)) such that \(\bigcup_{1 \leq i \leq k} R_i \in \mathcal{I}(M)\), and maximize diversity \(\bigcup_{1 \leq i \leq k} R_i\).

The local search algorithm can be easily modified to solve this new variant. To see this, consider a local search algorithm in which at each step, we only allow swap operations that keep the whole set of representatives an independent set of the matroid. One can easily check that the above proof can be adapted to show that such a local search algorithm gives a \(\frac{1}{2} - \epsilon\)-approximation algorithm. This, in turn, implies the first constant-factor approximation for the maximum dispersion problem under matroid constraints.

4. PREPROCESSING FOR CLUSTERING

As part of the input to the diversity maximization problem discussed above, we need to pre-compute a set of clusters \((C_1, \ldots, C_k)\). Such a set of clusters can be found using many different techniques. While this is not the focus of this paper, for the sake of completeness, we discuss two simple algorithms to produce these clusters. We will also evaluate these algorithms in the experimental evaluation section. The ideas behind both of these algorithms are based on finding a subset \(S = \{d_1, \ldots, d_k\}\) of top \(k\) center documents, and then building a cluster around each center \(d_i\). In other words, we think of each document in this set as a center document for a cluster around it, i.e., after computing these center documents, we construct a clustering \(C = (C_1, C_2, \ldots, C_k)\) of documents where \(d_i \in C_i\) by associating each document \(d \in D\) to one or more close center documents in \(S\). In computing the set of centers \(S\), we aim to cover a large range of topics, and we take two approaches: maximize a weighted-coverage function of the documents in \(S\); and maximize the diversity\((S)\) while taking into account their weighted-coverage. We will first define the weighted-coverage function, and then algorithms aiming to optimize it.

4.1 Greedy Algorithm for Coverage Maximization

In this section, we define the weighted-coverage function and present an algorithm for the maximum weighted-coverage problem.

Weighted-coverage. Given a set of documents \(D\) and topics \(T\), and an edge-weighted bipartite graph \(G(D, T, E)\) with edge weights \(w(d, t)\), the weighted-coverage of subset \(S \subseteq D\) is the sum of the weighted coverage of topics covered by documents in \(S\), where the weighted coverage of each topic \(t\) is \(\max_{d \in S} w(d, t)\) i.e., the extent to which this item \(t\) is covered. More formally, the weighted-coverage of documents in \(S\) is equal to coverage\((S) = \sum_{t \in T} \max_{d \in S} w(d, t)\).

Maximum weighted-coverage problem. Given a parameter \(k\) (i.e., the number of documents) and an edge-weighted bipartite graph \(G(D, T, E)\), the goal of the maximum weighted-coverage problem is to choose a subset \(S\) of \(k\) center documents maximizing the weighted-coverage coverage\((S)\).

Note that this problem is different from the well-studied set cover or the maximum \(k\)-coverage problem [10], but it is still NP-hard, since the same problem with \(0/1\) as edge weights is equivalent to an unweighted version of maximum \(k\)-coverage problem [10] which is NP-Hard.

Greedy Algorithm for Coverage Maximization. Given the definition of the coverage function, the greedy algorithm to choose the top \(k\) documents is as follows: start from an empty set \(S\) of documents, at each step choose a document \(d\) with the maximum marginal increase in function coverage (i.e., \(\max\text{coverage}(S\cup\{d\})\) – coverage\((S)\)), and add this document to set \(S\). Repeat this greedy selection procedure until \(k\) elements are picked.

By proving the submodularity of the weighted-coverage function defined above, we can prove that greedy algorithm above achieves at least \(1 - \frac{1}{e}\) of the optimum of the maximum weighted-coverage problem [21].

4.2 Combined Heuristic for Center Selection

One way of choosing the set of centers in the clusters is to find \(k\) center documents with maximum diversity. However, other than the diversity metric, one may care about other objective functions such as relevance, weighted-coverage, and popularity of the micro-posts in the set of centers to form the clusters. A desirable property of the local search algorithm for maximizing diversity is its flexibility in choosing the order of local improvements, and the initial
set of documents to start with. For example, if we want to simul-
taneously maximize the weighted coverage and diversity metrics, we
can start with a set of documents with high weighted coverage, and
then run the local search algorithm as a post-processing step.
Also in running the local search algorithm, we should try to swap
documents with higher weighted-coverage at each step. We use
this guideline in the implementation of the local search algorithm.
As an alternative approach to find the clusters, we also study the
following heuristic for choosing the initial k centers by com-
bining ideas for maximizing coverage and diversity. The algorithm is
described in Figure 2.

Note that the algorithm in Figure 2 is a simple heuristic algo-

5. EXPERIMENTAL EVALUATION

In order to evaluate our algorithms in practice, in this section, we
perform experiments on real world data (from Twitter) and on
randomly generated data. More specifically, we examine the practi-
cal performance of our algorithms and their variants by comparing
them with each other and a with baseline algorithm. We begin by
describing the data and our metrics.

Twitter Data. We run our experiments on 61 families of twitter
posts, each of which is constructed as follows: We consider 10,000
to 25,000 top queries for Google real-time search, and for each
query, we consider 30 top micro-posts returned for that query. This
ranking is done based on various criteria such as the relevance of
those twitter posts as well as their popularity and importance for
the topic of the query. For each dataset, this process results in tens
of thousands of documents, and a mapping of those top queries to
thousands of topics. We construct the edge-weighted bipartite
graph between these documents and topics using the algorithm de-
scribed in Section 5. As a result, we get 61 edge-weighted bipartite
graphs with an average of 1,277 topics and average of 12,500
documents. For this data set, the categories D, forming the (partition)
matroid constraints are sets of micro-posts from the same Twitter
user. We aim to identify 10 or 20 centers, and similar to Google
News, we consider clusters with three representatives in each clus-
ter.

Processing Twitter data. An important source of identifying hot
topics in the online micro-blogging environment is the set of popu-
lar queries by users. As a first step of identifying important micro-
posts, we identify popular queries that have been searched more
often recently by users, and use these queries to retrieve a set of
micro-posts matching those queries. In this setting, since tweets do
not contain many terms, there is less need to discount term fre-
quency by inverse document frequency (as in TF-IDF). We assume
that a real-time search engine is available and one can identify the
most relevant documents for those queries.

Let Q be the set of top queries on a real-time search engine. Each
query has a query score query_score(q), representing the popular-
ity of this query, e.g., the number of users who searched for this
query. For each q ∈ Q, let Dq be a ranked list of top l micro-posts
or documents for q, and for each document d ∈ Dq, we also have a
relevance_score(q, d).

For each q ∈ Q, let Tq ⊂ T be the set of topics corresponding
to q. We transform each query q to a set of topics Tq, and construct
an edge-weighted bipartite graph G(D, T, E) between documents
D = ∪q∈Q Dq and all topics T = ∪q∈Q Tq. The weight of the edge
between a topic t ∈ T and a document d ∈ D is denoted by
w(d, t) and is computed as follows: for each query q ∈ Q and each
topic t ∈ Tq, we associate a weight weight(q, t) which is propo-
tional to the score of the query normalized by the number of topics
associated with this query q, e.g., weight(q, t) = query_score(q)
|Tq| .

Now the weight w(d, t) in the topic-document bipartite graph is
w(d, t) = ∑q∈Tq weight(q, t)relevance_score(q, d). This weight
captures the extent to which online entry d covers topic t model-
ing the relevance of this topic to the micro-post. Intuitively, these
topics represent the main topics of hot trends over the online envi-
ronment. After forming the above edge-weighted topic-document
bipartite graph, our goal is find a set of top documents covering the
maximum amount of topics.

Randomly generated data. We generate the underlying bipartite
graph between documents and topics at random. In particular, we
generate families of random bipartite graphs each with 20000 doc-
uments and 2000 topics. We examine these random bipartite graphs
in which each edge is present with probability p independent of all
other edges. We consider six values for the probability p, i.e.,
p = 0.1%, 0.5%, 1%, 2%, 5%, 10% for having each edge in the
bipartite graphs. The categories Di for the partition matroid con-
stratify generated at random among 100 categories. We consider identifying 10 or 100 centers and clusters with three representatives in each cluster.

Metrics. We divide the practical evaluation of our algorithms into two main parts: 1) evaluation of the center selection algorithm for clustering, 2) evaluation of diversity maximization for representative selection given a set of clusters. For each part of the evaluation, we use an appropriate set of metrics. The main metrics are related to diversity and weighted-coverage as defined in this paper, and other metrics are inspired by the k-median and k-means problems with and without outliers [6]. Some of the metrics for evaluating both first and second parts are as follows:

(I) Weighted-coverage (W-COVERAGE): For a subset \( S \) of documents, the weighted-coverage of \( S \) is \( \sum_{d \in S} \max_{c \in \text{centers}} w(d, c) \).

(II) Diversity (DIVERSITY): For a subset \( S \) of documents, the diversity of \( S \) is \( \sum_{c_i, c_j \in \text{centers}} \sum_{d \in S} \ell(d, c_i) \).

(III) Average distance to the centers (DIST-ALL): For a set \( S = \{c_1, c_2, \ldots, c_k\} \) of centers, the DIST-ALL objective function is the sum of distances of each document to the closest center, i.e., \( \sum_{d \in D} \min_{1 \leq i \leq k} \ell(d, c_i) \).

(IV) Percentage of documents covered in clustering (PERC): For a family of clusters \( \mathcal{C} = (C_1, C_2, \ldots, C_k) \), this metric is the percentage of documents covered in this clustering, i.e., \( \frac{|\{1 \leq i \leq k \mid C_i \cap D \neq \emptyset\}|}{|D|} \).

(V) Average distance of covered documents to the centers (DIST-COVERED): For a set of clusters \( \mathcal{C} = (C_1, C_2, \ldots, C_k) \) and a center \( c_i \in C_i \) for each \( 1 \leq i \leq k \), the mean-distance DIST-COVERED is \( \sum_{1 \leq i \leq k} \sum_{d \in C_i} \ell(d, c_i) \).

One other metric for evaluating the second part (i.e., diversity maximization for choosing representative documents inside each given cluster) is as follows: Intra-cluster diversity (INTRA-DIVERSITY):

For a family of clusters \( \mathcal{C} = (C_1, C_2, \ldots, C_k) \) and a subset \( R_i \subseteq C_i \) of representatives inside each cluster, we define the intra-cluster diversity as follows:

\[
\sum_{i = 1}^{k} \sum_{d \in R_i} \sum_{c \in C_i} \ell(d, c)
\]

Baseline Algorithms and Heuristics. In the first part, we study the following two baseline algorithms and our two heuristics for finding a set of centers, and compare them in terms of diversity, weighted-coverage, and other metrics:

- Sort-based Algorithm [SORT(TOP-k)]: This is a natural baseline as it returns documents with most coverage (ignoring their internal diversity). Specifically, it sorts documents based on the total weight of topics connected to them in the edge-weighted bipartite graph and return the top \( k \) documents.
- Random Algorithm (RAND): This is a naive baseline algorithm that returns \( k \) random documents.
- Greedy algorithm [GREEDY(Max. Coverage)]: The greedy algorithm described in Section 4.1.
- The combined heuristic [COMB-H]: The combined heuristic algorithm, combining the local search and greedy algorithms, described in Section 4.2.

In the second part, we compare different algorithms for diversity maximization for representative selection in each cluster. We assume that given a set of center documents, clusters have been formed by putting each document in the cluster associated with the closest center. Documents that are not overlapping their set of topics with any of the centers are thrown away, i.e., if the minimum generalized Jaccard distance \( \ell \) of a document to centers is 1, then this document is not in any cluster. In particular, we compare the performance of the following three algorithms in terms of diversity, weighted-coverage, intra-cluster diversity, clustering coverage:

- A baseline sort heuristic that picks the top \( p \) documents in each cluster [SORT(BASELINE)]: In this algorithm, we choose the representatives as follows: In each cluster, the first representative is the center of the cluster from which this cluster was made. The rest of the \( p - 1 \) representatives are \( p - 1 \) documents with the maximum total weight of covering topics.
- The local search algorithm maximizing diversity of representatives [LOCAL] described in Section 3.
- A modified local search algorithm maximizing the intra-cluster diversity [INTRA-L]: In this algorithm, we slightly modify the local search algorithm to optimize the intra-cluster diversity as opposed to total diversity of all representatives, i.e., we swap a document outside of the set with a document inside only if it increases the distance of this document to the set of documents in the same cluster.

We examine three ways (including a baseline) to find the clusters (SORT(TOP-k), Comb-H, Max-Cov), and three ways (including a baseline) to pick representatives (BASE, LOCAL, INTRA-L), and compare the results of the nine different combinations (e.g., Comb-H/LOCAL).
Table 3: Average of W-COVERAGE, DIVERSITY, and INTRA-DIVERSITY of the set of representatives for nine algorithms on real data sets. The three SORT... are baseline algorithms for picking the centers.

<table>
<thead>
<tr>
<th>Algorithm</th>
<th>Diversity</th>
<th>Intra-Divers.</th>
<th>Coverage</th>
</tr>
</thead>
<tbody>
<tr>
<td>SORT/SORT(BASE)</td>
<td>1721</td>
<td>20.11</td>
<td>123372</td>
</tr>
<tr>
<td>SORT/INTRA-L</td>
<td>1738</td>
<td>45.26</td>
<td>118439</td>
</tr>
<tr>
<td>SORT/LOCAL</td>
<td>1741</td>
<td>43.32</td>
<td>121438</td>
</tr>
<tr>
<td>Comb-H/SORT(BASE)</td>
<td>1732</td>
<td>24.62</td>
<td>131870</td>
</tr>
<tr>
<td>Comb-H/INTRA-L</td>
<td>1755</td>
<td>47.17</td>
<td>124803</td>
</tr>
<tr>
<td>Comb-H/LOCAL</td>
<td>1756</td>
<td>46.59</td>
<td>124195</td>
</tr>
<tr>
<td>Max-Cov./SORT(BASE)</td>
<td>1730</td>
<td>22.58</td>
<td>136462</td>
</tr>
<tr>
<td>Max-Cov./INTRA-L</td>
<td>1755</td>
<td>47.03</td>
<td>124699</td>
</tr>
<tr>
<td>Max-Cov./LOCAL</td>
<td>1756</td>
<td>46.61</td>
<td>126107</td>
</tr>
</tbody>
</table>

Observations. Our experiments on both real and randomly generated data confirm the better performance of our algorithms for maximizing diversity and weighted-coverage. The better performance of the local search algorithm for diversity maximization compared to the greedy algorithm is more clear for randomly generated data sets.

For the real data, we report statistics for the set of 20 centers, or the 20 clusters based on these 20 centers, and the set of 60 representative documents in these clusters. First we observe that GREEDY and Comb-H algorithms consistently outperform both the SORT and RANDOM algorithms for maximizing the weighted-coverage and diversity metrics respectively. For example, Figure 3 shows that the weighted-coverage of these two algorithms outperform that of SORT for each of the instances. Moreover, Table 1 shows that on average, coverage of GREEDY is 78% higher than that of SORT, and coverage of Comb-H is 25% higher than the coverage of SORT. Also the coverage of GREEDY is 42% higher than that of Comb-H. In addition, Table 1 shows that on average, diversity of both GREEDY and Comb-H is 28% higher than diversity of SORT. Although we expect the diversity of Comb-H to be better than that of GREEDY, our real datasets do not show a significant difference between the diversity of GREEDY and Comb-H. However, we will observe such a difference on the random datasets (See Figure 4).

Other than the coverage and diversity metrics for the 20 centers, we also construct 20 clusters out of these centers by assigning each document to the closest center and report clustering metrics like PERC and DIST-COVERED, as well as the DIST-All metrics for these centers and clusters (See Table 2). Intuitively, we expect algorithms with good coverage and diversity to have high percentage of covered documents, and small average distance of other documents to clusters, i.e., smaller DIST-ALL. We observe that GREEDY and Comb-H achieve comparable PERC and DIST-ALL. They both have larger PERC and smaller DIST-All compared to SORT. On the other hand, GREEDY has a slightly larger DIST-COVERED, implying that since GREEDY covers more topics, the resulting clusters may end up being less coherent, and thus seem to be tradeoff between the coverage of the centers of the clusters and the coherency (DIST-COVERED) of the clusters. Finally, we report the average of Diversity, Intra-Diversity, and Coverage for the set of 60 representatives for the six algorithms combining Comb-H and GREEDY (or Max-Cov.) to find the centers and BASE, LOCAL, and INTRA-L to find the representative inside clusters (See Figure 3). The results from this part are similar to previous part, i.e., the coverage of Max-Cov. algorithm is larger, but the diversity of all the algorithms are comparable. The Intra-diversity of representatives for the algorithms using Intra-L is larger than the other algorithms.

The results for randomly data sets are similar in most aspects. Here, we discuss the main difference: while, for real data sets, the diversity metric for the output of GREEDY (or Max-Cov.) algorithm was comparable to that of Comb-H, we have observed families of instances of random data sets in which the average diversity of the output of Comb-H which is aiming to maximize the diversity is much higher. This is particularly significant for dense instances in which the probability of an edge in the document-topic bipartite graph is large, e.g. \( p = 5\% \) or \( p = 10\% \). For instance, for \( p = 5\% \), the Diversity of the output of Comb-H, is more than 300% higher than that of GREEDY (See Figure 4). For brevity, we report the details of these metrics for a subset of algorithms (See Tables 3 and 4), but the results for other algorithms follow the same pattern.

6. USER STUDY

We conducted a user study with the goal to validate our metrics and algorithms. Our survey was designed to find out if people prefer to see a diversified set of clusters.

This user study was designed in the form of a survey on Amazon’s Mechanical Turk (MTurk) simulating a trends’ aggregator website. MTurk is a crowdsourcing online marketplace where requesters use human intelligence of workers to perform certain tasks, also known as HITs (Human Intelligence Tasks). Workers browse among existing tasks and complete them for a monetary payment set by the requester [19]. Once a worker completes the task, the requester can...
decide whether to approve it. In particular, if the requester believes that the worker completed the task randomly, she can reject her work. In that case, the worker does not get paid for the particular task and her approval rate is decreased. For our studies, we only hired workers that had approval rates of over 95%, that is, workers who had performed well in the past.

We present four questions each with two choices where each option is a set of clusters. The user is then asked which option she liked to see if she visited a realtime trends aggregator website. The two choices differed in the degree of diversity in them, e.g., one was generated by the diversity maximization algorithm and the other one by the baseline sorting algorithm. In each survey, we repeated two of the questions with the answers in reverse order for validity check. If the answers to those questions were inconsistent, we discarded the survey answers from that user. Overall, 130 out of 300 respondents had answered these two questions inconsistently. Therefore, we analyzed the remaining 170 valid responses.

Each question consists of two main parts: 1) “Which one do you prefer?” and 2) “Which one do you think others prefer?” The answer to the first question provides information on how a particular user likes diversity, and the answer to the second question shows how users expect an aggregator to look like. Moreover, we included the second question in the survey for two reasons. First, by asking the second question the subjects felt that the survey’s goal was to study how people think their decisions are similar to the others, weakening the reactivity effect [13]. Second, we offered three bonus payments ($5 each, which is 50 times the amount we paid for each HIT) to the three workers whose answers to the second question was closest to the others’ answers to the first question in order to deter workers from answering randomly.

Observations. On average (over 4 questions) 65% of the respondents preferred to see a more diversified set of clusters. Moreover, 69% of the respondents thought that most people would like to see a diversified set of clusters.

7. CONCLUSION

In this paper, we study the diversity maximization problem under matroid constraints which is useful in a range of applications – in news aggregators, for aggregating trending topics in a microblogging environment, and in commerce search [3]. On the theoretical side, we present the first constant-factor approximation algorithm for this problem applying a new local search technique which implies the first constant-factor approximation algorithm for the maximum dispersion problem subject to matroid constraints. From a practical standpoint, we show reasonable performance of these algorithms by running a user study validating our metrics, and by running experiments on synthetic and real data. From an algorithmic perspective, it would be interesting to improve the approximation factor of 2 for the maximum dispersion (or diversity maximization) problem under matroid constraints, or cardinality constraints. From a practical standpoint, it would be nice to apply these ideas in the context of commerce search [3] where matroid constraints are also relevant.

8. REFERENCES